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OSMM&N File No. 213591US2SRDPCT

Dept.: PP

By: MJS/smc

Serial No. 09/914,787

In the matter of the Application of: Takeshi NAGAI, et al.

For: METHOD AND APPARATUS FOR CODING MOVING PICTURE IMAGE

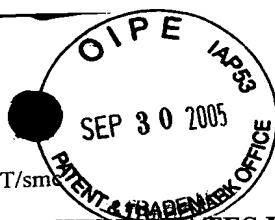
The following has been received in the U.S. Patent Office on the date stamped hereon:

- ☐ pp. Specification & Claims/Drawings Sheets
- ☐ Combined Declaration, Petition & Power of Attorney pages
- ☐ List of Inventor Names and Addresses
- ☐ Utility Patent Application
- ☐ Notice of Priority
- ☐ Check for
- ☐ Fee Transmittal Form
- ☐ Assignment/PTO-1595 pages:
- ☐ Letter to Official Draftsman
- ☐ Letter Requesting Approval of Drawing Changes
- ☐ Drawings sheets ☐ Formal
- ☐ Letter
- ☐ Amendment
- ☒ Information Disclosure Statement
- ☒ Cited References (15)
- ☒ INTERNATIONAL Search Report
- ☐ Statement of Relevancy
- ☐ English Abstracts, Concise Explanation, English Translation, Partial English Translation ()
- ☒ IDS/Related/List of Related Cases
- ☐ Restriction Response
- ☐ Rule 132 Declaration
- ☐ Petition for Extension of Time
- ☐ Notice of Appeal
- ☐ Brief
- ☐ Issue Fee Transmittal
- ☐ White Advanced Serial Number Card
- ☐ CPA
- ☐ Priority Doc
- ☒ Dep. Acct. Order Form
- ☒ PTO-1449
- ☐ Cited Pending Applications ()
- ☐ Election Response



Due Date: 12/12/01

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Docket No. 213591US2SRDPCT/sme

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Takeshi NAGAI, et al.

GAU: 2614

SERIAL NO: 09/914,787

EXAMINER:

FILED: September 5, 2001

FOR: METHOD AND APPARATUS FOR CODING MOVING PICTURE IMAGE

INFORMATION DISCLOSURE/RELATED CASE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references, some of which are cited in the attached International Search Report listed on the attached form PTO-1449. Copies of the reference(s) have been received by the U.S. PCT Receiving Office from the International Bureau as acknowledged in the Notification of Acceptance.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☒ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

Marvin J. Spivak
Registration No.

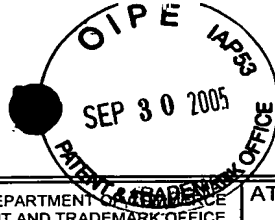
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SHEET 1 OF 1

Form PTO 1449
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PATENT AND TRADEMARK OFFICEATTY DOCKET NO.
213591US2SRDPCTSERIAL NO.
09/914,787

LIST OF REFERENCES CITED BY APPLICANT

APPLICANT

Takeshi NAGAI, et al.

FILING DATE
September 5, 2001GROUP
2614

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB CLASS | FILING DATE IF APPROPRIATE |
|---------------------|----|--------------------|------------|---------------------------|-------|--------------|-------------------------------|
| | AA | 5,867,231 | 02/02/99 | Toru WADA, et al. | | | |
| | AB | 6,310,897 | 10/30/2001 | Toshiaki WATANABE, et al. | | | |
| | AC | | | | | | |
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| | AI | | | | | | |

FOREIGN PATENT DOCUMENTS

| | | DOCUMENT NUMBER | DATE | COUNTRY | TRANSLATION YES NO | |
|--|----|--------------------|------------|---------------|-----------------------|--|
| | AJ | 2 327 313 | 01/20/99 | GREAT BRITAIN | | |
| | AK | 9-271041 | 10/14/97 | JAPAN | | |
| | AL | 0 731 422 | 09/11/96 | EUROPE | | |
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| | AU | 11-313324 | 11/09/99 | JAPAN | | |
| | AV | 2000-13790 | 01/14/2000 | JAPAN | | |

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

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|--|----|--|
| | AW | |
| | AX | |
| | AY | |
| | AZ | |

Examiner

Date Considered

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.